

# Little Rock Trade and Investment Ltd.

Admin. Office: 9/14, (First Floor) East Patel Nagar, New Delhi – 110008

Website: [www.lrtil.co.in](http://www.lrtil.co.in)

Ph: +911141412139/25769111

Email: [lrtil1981@yahoo.com](mailto:lrtil1981@yahoo.com) / [investcos@yahoo.com](mailto:investcos@yahoo.com)

CIN: L52100WB1981PLC033628

Date: February 10, 2022

**Kolkata Stock Exchange Ltd.**

7- Lyons Range

Kolkata – 700001

Dear Sir,

Ref	CSE Scrip Code: 22055
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Pursuant to provision of Regulation 30(2) & Regulation 33 of the SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015 and other applicable provisions, if any, please find enclosed herewith unaudited financial results, for the Quarter and Nine Months ended on December 31, 2021 along with the limited review report of the company's auditors as considered, reviewed and approved by the Board of Directors in their Meeting held on February 10, 2022.

Further the Board Meeting Started at its scheduled time at 12:00 PM and concluded at 1:05 PM Please acknowledge receipt

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

For Little Rock Trade and Investment Limited

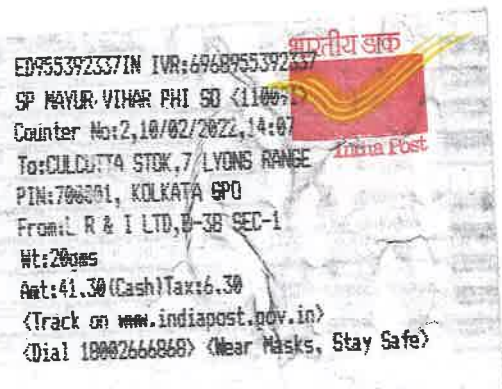
Mohanam Thrayil

(Director)

(DIN: 08310232)

  
Director/Authorized Signatory

Encl: as above.



Regd. Office: 11C, Ram Mohan Dutta Road, Ground Floor, Kolkata-700020

**Unaudited Financial Results for quarter and Nine Months ended on 31st December, 2021**

**M/S LITTLE ROCK TRADE AND INVESTMENT LTD.**

Regd. Office: 20/1, Maharshi Debendra Road, 2nd Floor, Kolkata (WB)- 700007  
 Admin. Office: B-38, Ground Floor, Institutional Area, Sector-1, Noida (U.P.) - 201301.  
 CIN: L52100WB1981PLC033628 ; Website: www.lrtil.co.in  
 Email: lrtil1981@yahoo.com / investcos@yahoo.com, Ph: +91-120-4939945

**Part I**

**(Rs. in Lakh)**

Particulars	Quarter ended			Nine Months ended		year ended
	31.12.2021	30.09.2021	31.12.2020	31.12.2021	31.12.2020	31.03.2021
(Refer Notes Below)	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	(Unaudited)	(Audited)
<b>1. Income from Operations</b>						
(a) Net Sales/Income from Operations (Net of excise duty)	-	-	-	-	-	-
(b) Other Operating Income	-	-	-	1.88	1.31	1.31
<b>Total income from Operations (net)</b>	-	-	-	<b>1.88</b>	<b>1.31</b>	<b>1.31</b>
<b>2. Expenses</b>						
(a) Cost of Materials consumed	-	-	-	-	-	-
(b) Purchase of stock-in-trade	-	-	-	-	-	-
(c) Changes in inventories of finished goods, work-in-progress and stock-in-trade	-	-	-	-	-	-
(d) Employee benefits expense	3.21	1.60	1.47	7.21	5.82	8.66
(e) Depreciation and amortisation expense	-	-	-	-	-	-
(f) Other expenses (Any item exceeding 10% of the total expenses relating to continuing operations to be shown separately)	0.81	0.58	1.09	1.63	1.98	2.72
<b>Total Expenses</b>	<b>4.02</b>	<b>2.18</b>	<b>2.56</b>	<b>8.84</b>	<b>7.80</b>	<b>11.38</b>
<b>3. Profit / (Loss) from operations before other income, finance costs and exceptional items (1-2)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.56)</b>	<b>(6.96)</b>	<b>(6.49)</b>	<b>(10.07)</b>
4. Other Income	-	-	-	-	-	-
<b>5. Profit / (Loss) from ordinary activities before finance costs and exceptional items (3 ± 4)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.56)</b>	<b>(6.96)</b>	<b>(6.49)</b>	<b>(10.07)</b>
6. Finance Costs	-	-	0.01	-	1.44	5.08
<b>7. Profit / (Loss) from ordinary activities after finance costs but before exceptional items (5 ± 6)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.57)</b>	<b>(6.96)</b>	<b>(7.93)</b>	<b>(15.15)</b>
8. Exceptional Items	-	-	-	-	-	-
<b>9. Profit / (Loss) from ordinary activities before tax (7 ± 8)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.57)</b>	<b>(6.96)</b>	<b>(7.93)</b>	<b>(15.15)</b>
10. Tax expense	-	-	-	-	-	-
<b>11. Net Profit / (Loss) from ordinary activities after tax (9 ± 10)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.57)</b>	<b>(6.96)</b>	<b>(7.93)</b>	<b>(15.15)</b>
12. Extraordinary items	-	-	-	-	-	-
<b>13. Net Profit / (Loss) after Tax for the period (11 ± 12)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.57)</b>	<b>(6.96)</b>	<b>(7.93)</b>	<b>(15.15)</b>
<b>OTHER COMPREHENSIVE INCOME</b>						
14. Other Comprehensive Income for the period net of Tax - not to be reclassified subsequently to Profit & Loss Account	-	-	-	-	-	-
<b>15. Total Comprehensive Income for the period comprising Profit / (Loss) after taxes and Comprehensive Income (13 ± 14)</b>	<b>(4.02)</b>	<b>(2.18)</b>	<b>(2.57)</b>	<b>(6.96)</b>	<b>(7.93)</b>	<b>(15.15)</b>
16. Paid-up equity share capital (Face Value of Rs 10.00 each)	124.90	124.90	124.90	124.90	124.90	124.90
17. Other Equity excluding Revaluation Reserves as per Last Audited Balance Sheet	-	-	-	-	-	33.99
<b>18. Earnings Per Share (of Rs 10/- each)</b>						
(a) Basic	(0.32)	(0.17)	(0.21)	(0.56)	(0.63)	(1.21)
(b) Diluted	(0.32)	(0.17)	(0.21)	(0.56)	(0.63)	(1.21)
<b>19.ii Earnings Per Share (after extraordinary items) (of Rs 10/- each)</b>						
(a) Basic	(0.32)	(0.17)	(0.21)	(0.56)	(0.63)	(1.21)
(b) Diluted	(0.32)	(0.17)	(0.21)	(0.56)	(0.63)	(1.21)

**Note:**

1. The above unaudited standalone financial results of Little Rock Trade & Investment Ltd ("the Company") have been reviewed by the Audit Committee and taken on record by the Board of Directors of the Company at their meeting held on February 10, 2022. Limited review of these results required under Regulation 33 of SEBI (LODR) Regulations, 2015, has been completed by the statutory auditors of the Company. The Statutory Auditor has issued an unqualified report thereon

2. The Above financial results of the company have been prepared in accordance with Indian Accounting Standards (IND AS) prescribed under section 133 of The Companies Act, 2013 read with Companies (Indian Accounting Standards) Rules, 2015 as amended.

3. Previous year/period figures has been regrouped/reclassified, Wherever necessary to conform to those current year classificatio

4. In line with the requirement of Regulation 47(2) of the Listing Regulations 2015, the results are available on the website of CSE and on Company's website (www.lrtil.co.in).

Place: Noida

Dated: 10/02/2022

For Little Rock Trade and Investment Ltd

For Little Rock Trade and Investment Limited

  
 Director/Authorized Signatory

**Independent Auditor's Review Report on the Quarterly and Year to Date Unaudited Standalone Financial Results of the Company Pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, as amended**

Review Report to  
The Board of Directors  
**M/s Little Rock Trade and Investment Ltd.**  
B-38, GHCL House, Sector-1, Noida-201301

We have reviewed the accompanying statement of unaudited financial results of M/s Little Rock Trade and Investment Limited for the Quarter and Nine Month ended on 31<sup>th</sup> December, 2021.

This Statement, which is the responsibility of the Company's Management and approved by the Company's Board of Directors, has been prepared in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind AS 34) "Interim Financial Reporting" prescribed under Section 133 of the Companies Act, 2013 as amended, read with relevant rules issued there under and other accounting principles generally accepted in India. Our responsibility is to express a conclusion on the Statement based on our review.

We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410 "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement.

A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying Statement, prepared in accordance with the recognition and measurement principles laid down in the aforesaid Indian Accounting Standards ("Ind AS") specified under Section 133 of the Companies Act, 2013 as amended, read with relevant rules issued there under and other accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of the Listing Regulations, including the manner in which it is to be disclosed, or that it contains any material misstatement.

**Emphasis of Matter Paragraph:** We draw attention to the uncertainties and the impact of the Covid-19 pandemic on the Company's operations and results as assessed by the management. Our conclusion is not modified in respect of this matter.

For **Rakesh K Agarwal & Co.**  
Chartered Accountants  
FRN: 033764N



**Rakesh Kumar Agarwal**

(Proprietor)

Mem. No: 086574

UDIN: 22086574ABDTDL6404

Place: Noida

Date: 10/02/2022

# Little Rock Trade and Investment Ltd.

Admin Office: B-38, Ground Floor, GHCL House, Institutional Area, Sector-1,  
Noida, Gautam Buddha Nagar, U.P. – 201301

CIN: L52100WB1981PLC033628 ;

Website: www.lrtil.co.in

Email: lrtil1981@yahoo.com / investcos@yahoo.com,

Ph: +91-120-4939945

Date: January 11, 2022

The Calcutta Stock Exchange Ltd

7- Lyons Range

Kolkata – 700001

Ref	CSE Scrip Code = 22055 & 10022055
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**Sub:** Submission of Shareholding Pattern under Regulation 31(1)(b) and Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing obligations & Disclosure Requirements) Regulations 2015 for Quarter ended on December 31, 2021.

Dear Sir,

- Pursuant to requirement of Regulation 31(1)(b) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015 (including any modification(s) or amendments thereof), read with other applicable provisions, if any, please find enclosed herewith Shareholding Pattern for the Quarter ended on December 31, 2021 (**Annexure-I**).
- Further Pursuant to the requirement of Regulation 27(2) of SEBI (Listing Obligations & Disclosure Requirements) Regulations 2015, read with other applicable provisions if any, please find enclosed herewith Compliance Report(s) on Corporate Governance for the Quarter ended on December 31, 2021 (**Annexure-II**).

Please acknowledge the receipt

Thanking you

Yours faithfully

For Little Rock Trade & Investment Ltd.

For Little Rock Trade and Investment Limited




Mohanan Thrayil

(Director)

Director/Authorized Signatory

Encl: as above.

EU677498235IN INR:6985677438735  
SP SEC 16 HOIDA SO (201301)  
Counter No:4,11/01/2022,14:1  
To:THE CALCUTTA STOCK ..  
PIN:700001, KOLKATA GPO  
From:LITTLE ROCK HOIDA  
Wt:50gms  
Amt:41.30(Lakh)Taxes:30  
<Track on www.indiapost.gov.in>  
<Dial 18002668868> <Wear Masks. Stay Safe>



Regd. Office: 20/1, Maharshi Debendra Road, 2<sup>nd</sup> Floor, Kolkata (WB)- 700007



**Share Holding Pattern as per Regulation 31(1) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015**

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**
2. Scrip Code/Name of Scrip/Class of Security: **22055/Equity**
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) **Regulation 31(1)(b)**
  - a. If under 31(1)(b) then indicate the report for Quarter ending **Dec 31, 2021**
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment **NA**
4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?	-	No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?	-	No
3 Whether the Listed Entity has any shares against which depository receipts are issued ?	-	No
4 Whether the Listed Entity has any shares in locked-in?	-	No
5 Whether any shares held by promoters are pledge or otherwise encumbered?	-	No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

**5. The tabular format for disclosure of holding of specified securities is as follows :**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(B)	Public	33	114000	0	0	114000	9.13	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	<b>Total</b>	<b>36</b>	<b>1249000</b>	<b>0</b>	<b>0</b>	<b>1249000</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held(V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =IV+V+VI)	Shareholding % calculate d as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
(i) Indian																		
(a) Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d) Any Other (Body Corporate)		3	1135000	0	0	1135000	90.87	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
Mansarovar Commercial Pvt Ltd	AAGCM0307D		446900	0	0	446900	35.78	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

	Jeehupathinath Commercial Pvt Ltd	AAECP3237D		235500	0	0	235500	18.85	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Tripathi Commercial Pvt Ltd	AAADCT5894K		452600	0	0	452600	36.24	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	<b>Sub-Total (A)(1)</b>		<b>3</b>	<b>1135000</b>	<b>0</b>	<b>0</b>	<b>1135000</b>	<b>90.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(2)	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(f)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	<b>Sub-Total (A)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>		<b>3</b>	<b>1135000</b>	<b>0</b>	<b>0</b>	<b>1135000</b>	<b>90.87</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 2B(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held VII=(IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting Rights	No.(a)	As a % of total Shares held (b)	No. (No applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(1)	Institutions																		
(a)	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (B)(1)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	<b>Sub-Total (B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>

Authorized Sign

(3)	Non-institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs	30	25120	0	0	25120	0.56	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs	3	88880	0	0	88880	8.57	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Munna Lal		22880															
	Suresh Kr Doshi		33000															
	Himal Kr Singh		33000															
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (specify)	0	0	0	0	0	0	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
			0			0	0				0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(3)	33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	33	114000	0	0	114000	9.13	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %)

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public Shareholder**


	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked-in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
									Class X	Class Y									Total
(1)	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non- Promoter- Non Public Shareholding (C2) = (C2)(1)+(C2)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,

Name & Designation	For Little Rock Trade and Investment Limited	
	For Little Rock Trade and Investment Ltd.	
Date: 11-01-2022	 Mohanan Thrayil (Director)	

## Compliance Report on Corporate Governance under Regulation 27(2) of SEBI (Listing Obligations &amp; Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Little Rock Trade and Investment Ltd.**  
 2. Quarter ending: **31 December 2021**

## I. Composition of Board of Directors

Title (Mr. / Ms)	Name of the Director	PAN & DIN	Category (Chairperson/Executive/Non-Executive/independent/Nominee) *	Date of Appointment in the current term/cessation	Tenure	No of Directorship in listed entities including this listed entity (Refer Regulation 25(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1))
Mrs.	Uma Jain	PAN: AGUPJ0119M DIN: 08784837	Managing Director	11-02-2021	N/A	3	4	0
Mr.	Krishnan Swaminathan	PAN: ABDPS4948M DIN: 08784845	Independent Director	10-07-2020	17 Months	3	4	4
Mr.	Mohanan Thrayil	PAN: ADWPT4142P DIN: 08310232	Non-Executive Director	30-06-2020		2	1	0
Mr.	Naman Jain	PAN: BBKJP8439G DIN: 08784819	Non-Executive Director	10-07-2020	N/A	1	3	0

## II. Composition of Committees

Name of Committee	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)
1. Audit Committee	1. Ms. Uma Jain 2. Mr. Naman Jain 3. Mr. Krishnan Swaminathan (Chairman)	Executive Executive Independent Non-
2. Nomination & Remuneration Committee	1. Ms. Uma Jain 2. Mr. Naman Jain 3. Mr. Krishnan Swaminathan (Chairman)	Executive Executive Independent Non-
3. Risk Management Committee (if applicable)	NA	
4. Stakeholders Relationship Committee	Not applicable	

## III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
13-08-2021	05-10-2021, 10-11-2021	52 days

## IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days
10-11-2021	Yes, all the members were present at the meeting	13-08-2021	88 days

## V. Related Party Transactions

Subject	Compliance status (Yes/No/NA)
Whether prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA

## VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
- The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
  - Audit Committee
  - Nomination & remuneration committee
  - Stakeholders relationship committee
  - Risk management committee (applicable to the top 100 listed entities)
- The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.
- This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here:

Date: 11-01-2022  
Place: Noida

For Little Rock Trade & Investment Ltd  
For Little Rock Trade and Investment Limited

  
Mohanan Thrayil  
Director/Authorized Signatory (Director)